



ESTABLISHMENT WORKSHEET – US DOMESTIC FUNDS

- This Worksheet helps fund managers select the administration services they require us to provide.
- We will provide a free fee estimate for the establishment and annual administration costs of a fund based on the information provided in the Worksheet and the fund's offering memorandum.
- Please note our fees are based on the extent of our administration and not on the value of the assets in the fund.
- This Worksheet is designed to be completed for either US Private Equity or Hedge Funds.
- Please complete the Worksheet and send it via fax or email to:

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Trident Trust Fund Services
NYC Representative Office
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Email ecasey@tridenttrust.com

- Fund administration services are also provided in the jurisdictions listed below, and our Fund Establishment Worksheets for these funds can be downloaded from our website.
 - Bahamas
 - British Virgin Islands
 - Cayman Islands
 - Guernsey
 - Jersey
 - Luxembourg
 - Mauritius
- Our detailed Fund Services brochure is available on our website.

ESTABLISHMENT WORKSHEET – US
GENERAL INFORMATION
Name of fund _____

Proposed launch date (new fund) _____

Proposed transfer date (existing fund) _____

Will the fund be registered under the Investment Company Act of 1940? Yes No

 If no, what exemption? 3(c)(1) 3(c)(7)

Will the fund be registered under the Securities Exchange Act of 1934? Yes No

Fund structure
 Master/Feeder Stand Alone

 Other _____

Type of fund
 Open-end Closed-end

Entity type and jurisdiction of establishment
 Corporation – Jurisdiction _____

 Partnership – Jurisdiction _____

 LLC – Jurisdiction _____

 Unit Trust – Jurisdiction _____

Size of fund – *If applicable, please provide information for each Feeder fund* _____

Minimum investment _____

Number of investors at launch/transfer _____ Within one year

Estimated value of fund at end of first year _____

Frequency of subscriptions (e.g. monthly) _____ Number of subscriptions

Frequency of redemptions (e.g. monthly) _____ Number of redemptions

Classes of shares _____

Please add additional pages if required.
Purpose of the fund and markets in which it will be active _____

Investment advisor

Custodian

ESTABLISHMENT WORKSHEET – US
Prime broker

Expected number of accounts _____

Other brokers

Expected number of accounts _____

Average number trades
Frequency of Trades
 Monthly Daily

Average number of month end open positions
Investment Mix *(select all that apply and include estimated percentage of portfolio)*

- | | | |
|---------------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------|
| <input type="checkbox"/> Equities – US _____ % | <input type="checkbox"/> Equities – International _____ % | <input type="checkbox"/> US Government Bonds _____ % |
| <input type="checkbox"/> Options _____ % | <input type="checkbox"/> Mortgage/Asset Backed _____ % | <input type="checkbox"/> Futures _____ % |
| <input type="checkbox"/> Swaps _____ % | <input type="checkbox"/> Forward Contracts _____ % | <input type="checkbox"/> Distressed Securities _____ % |
| <input type="checkbox"/> PIPE(s) _____ % | <input type="checkbox"/> Private Placement _____ % | <input type="checkbox"/> Repurchase Agreements _____ % |
| <input type="checkbox"/> High-Yield Bonds _____ % | <input type="checkbox"/> Other Sovereign Bonds _____ % | <input type="checkbox"/> Investment Grade Bonds _____ % |
| <input type="checkbox"/> Other _____ % | | |

Counsel
Auditor
Bankers

Expected number of banking transactions per month _____

Please provide details of account function _____

Base currency of fund
Data/Technology

 Trade order management platform *(specify)* _____

 Ability to send trade blotter from OMS Yes No Unsure

 Format Text CSV Other _____

Related funds

Name of feeder 1 _____

 Type of fund 3(c)(1) 3(c)(7) Other _____

 Fund jurisdiction(s) US Cayman BVI Other _____

ESTABLISHMENT WORKSHEET – US

Name of feeder 2 _____

 Type of fund 3(c)(1) 3(c)(7) Other _____

 Fund jurisdiction(s) US Cayman BVI Other _____

Name of feeder 3 _____

 Type of fund 3(c)(1) 3(c)(7) Other _____

 Fund jurisdiction(s) US Cayman BVI Other _____

ADMINISTRATIVE SERVICES

- Please indicate services required and, where applicable, the frequency of a service.
- Please provide any comments that will assist us in preparing our quote.

Banking

 Assistance with local bank account establishment Yes No

If yes, please provide details of account function _____

 Providing bank account signatory Yes No

Financial statements

 Preparation of Net Asset Value Yes No

(including management and performance fees)

If yes, please indicate frequency _____

If no, please indicate who will be preparing _____

 Preparation of draft audited annual financial statements Yes No

(including the related notes and disclosures)
Investment services

 Maintain securities ledger Yes No

 Attend to documentation for the purchase and sale of investments Yes No

Shareholder/Partner services

 Maintaining shareholder/partnership registers Yes No

 Processing subscriptions, redemptions, transfers and issuing shareholder confirmations Yes No

 Reporting NAVs to investors Yes No

(NAV calculations prepared by third parties will be reviewed by us.)

If yes, please provide frequency _____

 Reporting NAVs to third parties (e.g. newspapers) Yes No

 Management reporting to investors Yes No

If yes, please provide frequency _____

ESTABLISHMENT WORKSHEET – US

Acting as primary contact for investor queries	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Preparation of K-1 tax forms	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Offering document attached	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, please specify	<input type="checkbox"/> Final	<input type="checkbox"/> Draft

If no, please explain why it is not available _____

Please provide any additional details on the proposed fund that will assist us with the preparation of our fee estimate.

Completed By

Name	Title
Company/Firm	Email
Address	Phone
	Fax
Signature	Date

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